



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: USAMI

SERIAL NO.: 10/695,159

FILED:

October 28, 2003

FOR:

Semiconductor Device and Method of Manufacturing the Same

DOCKET:

NEC 01207 DIV2

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with the above-entitled matter, Applicant wishes to bring to the attention of the Patent Office additional art received in connection with an Office Action in a related application, U.S. Serial No. 10/260,947. Attached are copies of U.S. Patent Office Form PTO-892 and the Office Action dated September 9, 2004. Since the references were cited in the related application, additional copies are not believed necessary. The claims in the present application are believed to be patentably distinguished over these references.

This Supplemental Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(A). No representation is made that the information thus disclosed in fact constitute prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(A) relies on a materiality concept which depends on subjectivity.

The enclosed Supplemental Information Disclosure Statement is being submitted within three months of receipt of the Office Action in the related application, as certified in the attached Certification for Information Disclosure Statement, and before the first action on the

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Serial No. 10/695,159
Docket No. NEC 01207 DIV2
Supplemental Information Disclosure Statement

merits. Therefore, we believe there are no fees involved with this prior art disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,

Norman P. Soloway Attorney for Applicant Registration No. 24,315

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 16, 2004 at Tucson, Arizona.

By:

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STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING Docket No. INFORMATION DISCLOSURE STATEMENT **NEC 01207 DIV2** In Re Application Of: USAMI SEP 2 0 2004 Application No. Examiner Filing Date Customer No. Group Art Unit Confirmation No. 10/695,159 October 28, 2003 27667 2814 7174 Invention: Semiconductor Devic and Method of Manufacturing the Same **COMMISSIONER FOR PATENTS:** This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application. Check applicable statement herebelow: Statement Under 37 CFR 1.97(e)(1) Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from the v.5. patent office in a counterpart U.S. application not more than three months prior to the filing of the Information Disclosure Statement. Statement Under 37 CFR 1.97(e)(2) No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. Dated: September 16, 2004 Norman P. Soloway Registration No. 24,315 Certificate of Mailing by First Class Mail HAYES SOLOWAY P.C. I certify that this correspondence is being deposited with the 130 W. Cushing Street United States Postal Service with sufficient postage as first Tucson, AZ 85701 class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria. VA 22313-1450" [37 CFR 1.8(a)] on September 16, 2004 (Date)

CC:

Kathryn Grimes

Typed or Printed Name of Person Mailing Correspondence